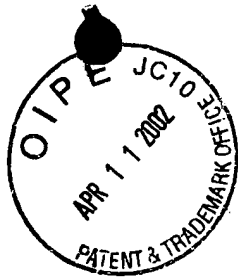


Ref: PES-0041



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: A. John Speranza et al. )  
Serial No.: 09/963,978 ) Group Art Unit: 1745  
Filed: September 26, 2001 ) Examiner:  
For: INTEGRAL MEMBRANE SUPPORT )  
AND FRAME STRUCTURE )

RESPONSE TO NOTICE OF INCOMPLETE REPLY

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice of Incomplete Reply dated March 5, 2002, enclosed are the following:

1. Response to Notice To File Missing Parts of Application and certificate of mailing dated November 2, 2001;
2. Copy of signed Combined Declaration for Patent Application and Power of Attorney as filed with Response to Notice To File Missing Parts on November 2, 2001;
3. Copy of postcard receipt stamped as being received by the United States Patent and Trademark Office on January 28, 2002; and
4. A copy of the Notice of Incomplete Reply dated March 5, 2002.

Ref: PES-0041


The Notice of Incomplete Reply indicates that the Reply to the Notice to File Missing Parts did not include the signed oath or declaration. Accordingly, as evidenced above, Applicants did timely file the signed declaration and have thus complied with all the requirements of the Notice to File Missing Parts of Nonprovisional Application dated October 24, 2001.

In the event the Commissioner of Patents deems that any extension fee or otherwise is required in connection with this application, please charge it to Deposit Account No. 06-1130 maintained by Applicants' attorneys.

Respectfully submitted,

A. JOHN SPERANZA et al.

CANTOR COLBURN LLP  
Applicants' Attorneys

By: 

Leah M. Reimer  
Registration No. 39,341  
Customer No. 23413

Date: April 1, 2002  
Address: 55 Griffin Road South, Bloomfield, Connecticut 06002  
Telephone: (860) 286-2929

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): **A. JOHN SPERANZA et al.**

Docket No.

**PES-0041**

Serial No.

**09/963,978**

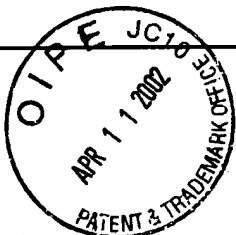
Filing Date

**September 26, 2001**

Examiner

Group Art Unit

Invention: **INTEGRAL MEMBRANE SUPPORT AND FRAME STRUCTURE**



I hereby certify that this **Response to Notice of Incomplete Reply (10 pgs); Cert. Mailing (1 pg); Postcard**  
(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on

**April 1, 2002**

(Date)

**Marjorie R. Humes**

(Typed or Printed Name of Person Mailing Correspondence)

*Marjorie R. Humes*

(Signature of Person Mailing Correspondence)

**Note: Each paper must have its own certificate of mailing.**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
PES-0041

In Re Application Of: A. JOHN SPERANZA et al.

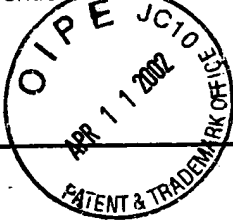
Serial No.  
09/963,978

Filing Date  
September 26, 2001

Examiner

Group Art Unit

Invention: INTEGRAL MEMBRANE SUPPORT AND FRAME STRUCTURE



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
October 24, 2001  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ 1 verified small entity declaration(s)
  - ☒ is/are attached.
  - ☐ was/were filed on \_\_\_\_\_
- ☐ A separate request for refund.
- ☒ Other (list):

Postcard Receipt

**Response To Notice To File Missing Parts Of Application**  
**Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
**PES-0041**

In Re Application Of: **A. JOHN SPERANZA et al.**

Serial No.  
09/963,978

Filing Date  
**September 26, 2001**

Examiner

### Group Art Unit

Invention: INTEGRAL MEMBRANE SUPPORT AND FRAME STRUCTURE

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

- ☒ Completion of application fees as calculated below:

- |   |                             |
|---|-----------------------------|
| <input checked="" type="checkbox"/> Utility application filing fee  | <u>\$370.00</u>             |
| <input type="checkbox"/> Design application filing fee  | <u>                    </u> |
| <input checked="" type="checkbox"/> Total number of independent claims = <u>5</u>   | <u>\$84.00</u>              |
| <input checked="" type="checkbox"/> Total number of claims = <u>28</u>  | <u>\$72.00</u>              |
| <input type="checkbox"/> Multiple dependent claims  | <u>                    </u> |
| <input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath | <u>\$65.00</u>              |
| <input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor               | <u>                    </u> |
| <input type="checkbox"/> Fee for processing an application filed with a non-English language specification                      | <u>                    </u> |
| <input type="checkbox"/> Fee for processing and retention of application  | <u>                    </u> |

Total completion of application fees	\$591.00
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This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

- ☐ One month      ☐ Two months      ☐ Three months      ☐ Four months      ☐ Five months

from: \_\_\_\_\_ *Date*                      until: \_\_\_\_\_ *Date*

Total time extension fees

**Total fees due                      \$591.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.  
PES-0041

In Re Application Of: A. JOHN SPERANZA et al.

Serial No.  
09/963,978

Filing Date  
September 26, 2001

Examiner

Group Art Unit

Invention: INTEGRAL MEMBRANE SUPPORT AND FRAME STRUCTURE

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of \$591.00 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 06-1130  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 06-1130  
A duplicate copy of this sheet is enclosed.

  
Signature

Dated: November 2, 2001

Leah M. Reimer  
Registration No. 39,341  
Customer No. 23413

I certify that this document and fee is being deposited  
on November 2, 2001 with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

  
Signature of Person Mailing Correspondence

Marjorie R. Humes

Typed or Printed Name of Person Mailing Correspondence

CC:

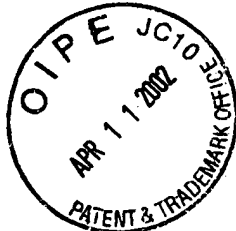


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/963,978	09/26/2001	A. John Speranza	PES-0041

CANTOR COLBURN LLP  
55 Griffin Road South  
Bloomfield, CT 06002



CONFIRMATION NO. 1532

## FORMALITIES LETTER



\*OC000000006964819\*

Date Mailed: 10/24/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$152.
  - \$72 for 8 total claims over 20.
  - \$80 for 2 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 572.

*A copy of this notice **MUST** be returned with the reply.*

*Tedus*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

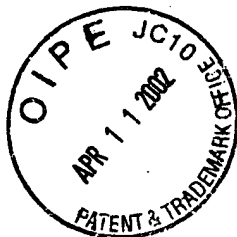


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/963,978	09/26/2001	A. John Speranza	PES-0041

CANTOR COLBURN LLP  
55 Griffin Road South  
Bloomfield, CT 06002



CONFIRMATION NO. 1532

## FORMALITIES LETTER



\*OC000000007578348\*

Date Mailed: 03/05/2002

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 01/28/2002 to the Notice to File Missing Parts (Notice) mailed 10/24/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The required items noted below SHOULD be filed along with any items required above. The filing date of this nonprovisional application will be the date of receipt of the items required above.

- The oath or declaration is unsigned.

*A copy of this notice **MUST** be returned with the reply.*

*L. Iba*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE